



Patent  
Docket No: 543581S067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TERMINAL DISCLAIMER  
APPROVED

APR 6 2002

In re Application of:

JOHN A. WHEATLEY and WALTER J. SCHRENK

Group Art Unit

TECHNOLOGY CENTER 2800  
SPECIAL PROGRAM CENTER

Serial No.: 09/911,532

Filed: 7/24/01

Examiner: Shafer, Ricky D.

For: **POLYMERIC INTERFERENCE FILM (AS AMENDED)**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

3/19/02

Date

*Sven J. Zerk*  
Signature

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APR 1 2002  
TECHNOLOGY CENTER 2800

TERMINAL DISCLAIMER UNDER 37 C.F.R. 1.321(b)

(US Patent No. 5,612,820)

RECEIVED

Hon. Commissioner for Patents  
Washington, D.C. 20231

APR 04 2002

Dear Sir:

OFFICE OF THE SPECIAL  
PROGRAMS EXAMINER

Petitioner, 3M Innovative Properties Company, a corporation of the State of Delaware having a place of business at 3M Center, St. Paul, Minnesota, represents that it is the exclusive owner of the entire interest in the above-identified Application (referred to as the "Subject Application") by virtue of an assignment recorded at Reel 012049, Frame 0159, on July 24, 2001. Petitioner further represents that it is the exclusive owner of the entire interest in U.S. Patent No. 5,612,820 (referred to as the "Prior Patent"), by virtue of an assignment in a parent application from the inventors to The Dow Chemical Company recorded at Reel 7532, Frame 398, on July 6, 1995, and by virtue of an assignment from The Dow Chemical Company to Minnesota Mining and Manufacturing Company recorded at Reel 9596, Frame 391, on November 20, 1998, and by virtue of an assignment from Minnesota Mining and Manufacturing Company to 3M Innovative Properties Company (a true copy of which is attached hereto).

Petitioner disclaims the terminal part of any patent granted on the Subject Application (referred to as a "Subject Patent") which would extend beyond the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the Prior Patent. Petitioner hereby agrees that the Subject Patent shall be enforceable only for and during such period that the legal title to such patent and the Prior Patent are commonly owned. This agreement is to run with the Subject Patent and to be binding upon the grantee, its successors, and assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of the Subject Patent prior to the expiration date of the full statutory term of the Prior Patent, even if the Prior Patent: (1) expires for failure to pay a maintenance fee; (2) is held unenforceable or is found invalid by a court of competent jurisdiction; (3) is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321; (4) has all claims canceled by a reexamination certificate; (5) is reissued; or (6) is otherwise terminated prior to the expiration of its full statutory term.

Documents establishing the chain of title of the Subject Application (including the aforementioned assignment and a recording location) have been reviewed and I certify that, to the best of my knowledge and belief, title is in Petitioner.

I declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Please charge the fee provided in 37 C.F.R. 1.20(d) to Deposit Account No. 13-3723.

Respectfully submitted, **TERMINAL DISCLAIMER  
APPROVED**

Registration Number	Telephone Number
35,207	651-736-3369
Date	
Mar. 19, 2002	

Signature

*Stephen C. Jensen*

Print Name

Stephen C. Jensen

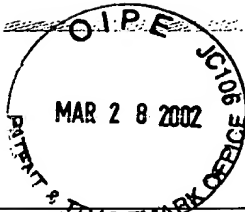
APR 6 2002

3M Innovative Properties Company  
Office of Intellectual Property Counsel  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

**TECHNOLOGY CENTER 2800  
SPECIAL PROGRAM CENTER**

*Deborah Perry-Leeper*  
**Deborah Perry-Leeper  
Paralegal Specialist  
Technology Center 2800**

**ATTACHMENT: Assignment from  
Minnesota Mining and Manufacturing Company  
To  
3M Innovative Properties Company**



Docket No.: 54358US065

RECEIVED  
APR 11 2002  
TECHNOLOGY CENTER 2800RECORDATION FORM COVER SHEET  
PATENTS ONLYTo: The Honorable Commissioner of Patents and Trademarks  
Please record the attached original document(s) or copy thereof.

## 1. Name of conveying party(ies)

(Execution Date)

19-Mar-2002

Minnesota Mining and  
Manufacturing Company,  
a Corporation of the State of Delaware

Additional names of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_☐ If this is a request for correction of a previously recorded document, a copy of the previously recorded assignment and previous Notice of Recordation is enclosed.

## 2. Name and address of receiving party(ies):

3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

Additional names of receiving party(ies) attached?

☐ Yes ☒ No

## 4. Application number or patent number:

☐ This document is being filed with a new patent application on \_\_\_\_\_☒ This document is to be recorded against the following patent application or patents: 5612820☐ Patent Cooperation Treaty (PCT):Enter PCT application number only if a U.S. Application Number has not been assigned: \_\_\_\_\_Additional Numbers Attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen C. Jensen  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

Phone Number: 651-736-3369

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41)

\$40☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit Account Number: 13-3723

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Stephen C. Jensen  
Printed Name of Attorney/Agent  
Registration No. 35,207

Signature

Date

Mar. 19, 2002

Pages: Total number of pages including COVER SHEET, attachments and documents:

2Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents, Box Assignments, Washington, DC 20231

## ASSIGNMENT

ASSIGNOR: MINNESOTA MINING AND MANUFACTURING COMPANY  
 of: PO Box 33427  
 St. Paul, Minnesota 55133-3427  
 United States of America

a corporation organized and existing under the laws of the State of Delaware, United States of America does hereby claim and declare that it is the owner of all right, title and interest in and to the following Patent Application(s) or letters Patents(s) and other rights and powers obtainable or exercisable in respect thereof as numbered in United States Patent(s) and /or Patent Application(s):

<u>Patent Application or Patent Number</u>	<u>Filing Date or Issue Date</u>
5612820	18-Mar-1997

Minnesota Mining and Manufacturing Company does hereby, for good and valuable consideration, effective as of April 1, 1999 received, sell, assign and transfer unto:

ASSIGNEE: 3M INNOVATIVE PROPERTIES COMPANY  
 of: PO Box 33427  
 St. Paul, Minnesota 55133-3427  
 United States of America

a corporation organized and existing under the laws of the State of Delaware, United States of America, its entire right, title and interest in and to the said Patent Application(s) or Letters Patent(s) including any division, continuation (in whole or in part) reissue or reexamination thereof, and in and to any countries foreign thereto, and consent to the recordal of this Assignment.

ASSIGNOR  
 Subscribed and Sworn:  
 At St. Paul, Minnesota 55133-3427  
 this 19<sup>th</sup> day of March, 2002.

MINNESOTA MINING AND  
 MANUFACTURING COMPANY

By: Carolyn A. Bates  
 Name: Carolyn A. Bates  
 Its: Assistant Chief Intellectual  
 Property Counsel

Subscribed and sworn to before me this  
19<sup>th</sup> day of March, 2002.

Cheryl A. Saver  
 Notary Public



ASSIGNEE  
 Accepted:  
 At St. Paul, Minnesota 55133-3427  
 this 19<sup>th</sup> day of March, 2002.  
 3M INNOVATIVE PROPERTIES COMPANY

By: Gary L. Griswold  
 Name: Gary L. Griswold  
 Its: President and Chief Intellectual Property Counsel

Subscribed and sworn to before me this  
19<sup>th</sup> day of March, 2002.

Jean S. Kistler  
 Notary Public

